



Environment Protection Fund (EPF)

Lao Environment and Social Project



Annual Report FY 2005-2006

Supported By the World Bank, Grant No. H-177- LA

Prepared by: Lao Environment and Social Project (LEnS)

LR 006

Preface

This first Annual Report of Lao Environment and Social (LEnS) Project covers all the activities done by LEnS from May 2006-September 2006.

LEnS' first year of project implementation faced some start-up problems due to impediment of opening project's Special Account and staffing which slows down many LEnS' activities.

However, after successfully opening the LEnS' special account and recruiting LEnS staff, the Project has made some satisfied progress on the last quarter of the year, especially for the PICE and CBI components. Under supervision of the project manager, PICE and CBI work together with project's CTMA and 3 provincial facilitators to facilitate and assist applicants in preparing proposals. At the end of the FY 2005-2006, LEnS holds more than 40s proposals from related sectors and 3 targeted provinces.

The Window Management Unit (WMU) also established the system to provide support for administrating the two components (PICE and CBI) in order to approve sub-grants to applicants who are capable in operating and managing sub-projects.

Due to the start-up delays, many LEnS' activities have been slowed down, resulting in a relative low use of the annual budget. Only US\$ 37,918.9 has been used this year which account for 28% of total annual budget (US\$ 136,125). No sub-grants have been approved in this year.

Vientiane, 26th February 2007

LEnS WMU Manager



Khampadith KHAMMOUNHEUANG

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LIST OF ABBREVIATIONS

ADB	Asian Development Bank	MIC	Ministry of Information and Culture
BoD	Board of Directors		
BSc	Bachelor of Science	MIS	Monitoring Information System
CBI	Community and Biodiversity Investments	MoE	Ministry of Education
CPI	Committee for Planning and Investment	MoH	Ministry of Public Health
CTMA	Chief Technical and Management Advisor	M&E	Monitoring and Evaluation
DAFOs	District Agriculture Forest Offices	NAFES	National Agriculture and Forestry Extension Service
DFRC	Division for Forest Resources Conservation (DoF/MAF)	NAFRI	National Agriculture and Forestry Research Institute
DGM	Department of Geology & Mines	NBCA	National Biodiversity Conservation Area
DoE	Department of Environment, STEA	NEC	National Environment Committee
DOF	Department of Forestry	NNT-NPA	The Nakai Nam Theun National Protected Area
DoI	Department of Industry	NPA	National Protected Area
DoR	Department of Roads	NTFP	Non-Timber Forest Product
EdL	Electricite du Laos	NUoL	National University of Lao PDR
EIA	Environmental Impact Assessment	OM	Operations Manual
EMMU	Environmental Management and Monitoring Units	PA	Protected Area
EO	Executive Office	PAFO	Provincial Agriculture and Forestry Office
EPF	Environment Protection Fund	PICE	Policy Implementation and Capacity Enhancement
EPL	Environmental Protection Law	PIP	Project Implementation Plan
ERI	Environment Research Institute (STEA)	PPA	Provincial Protected Area
FMR	Financial Monitoring Report	PSTEO	Provincial Science, Technology & Environment Office
GLIP	Government Letter of Implementation Policy	SA	Special Account
GOL	Government of Lao PDR	SEM	Strengthening Environmental Management
IUCN	The World Conservation Union	SEMD	Social and Environment Management Division
LEnS	Lao Environment and Social Project	Sida	Swedish International Development Cooperation Agency
LFNR	Lao Front for National Reconstruction	STEA	Science, Technology & Environment Agency
LNMC	Lao National Mekong Committee	WCS	Wildlife Conservation Society
LTUF	Lao Trade Union Federation	WMU	Window Management Unit
LWU	Lao Women's Union	WRCC	Water Resources Coordinator Committee
LYU	Lao Youth Union		
MAF	Ministry of Agriculture and Forestry	WWF	World Wildlife Fund
MCTPC	Ministry of Communications, Transport, Post and Construction		
MEM	Ministry of Energy and Mines		
MoF	Ministry of Finance		

1. INTRODUCTION

1.1. Background of LEnS

The Lao Environment and Social (LEnS) Project is designed as a complimentary activity to the NT2 project as stated in the GOL's Letter of Implementation Policy (GLIP) for NT2 signed by the Deputy Prime Minister.

LEnS Project is supported by the World Bank through the Environment Protection Fund (EPF) with Development Grant Agreement No. H 177-LA which signed between Ministry of Finance and International Development Association (World Bank) dated 15th August 2005.

The EPF, established in 2005 as a financially and administratively autonomous organization, aims at strengthening environmental protection, sustainable natural resources management and specifically biodiversity conservation and community development in Lao PDR.

1.2. Organization Structure of LEnS

Lao Environment and Social (LEnS) Project is under the supervision of EPF comprises of three components:

- Policy Implementation and Capacity Enhancement (PICE);
- Community and Biodiversity Investments (CBI) and
- Window Management Unit.

The office of LEnS based in the premise of the Prime Minister's Office. The project's guidance and support are provided by an inter-ministerial Project Steering Committee (PSC) which chaired by the Vice-President of STEA.



1.3. Objectives of LEnS

The overall objective of the LEnS Project is to “Strengthen Environmental Management of Natural Assets in Lao PDR for Enhancing Quality of Growth and Reducing Poverty”. More specific objectives include to:

- Strengthen institutions and instruments for assessment, monitoring and compliance for environmental and social sustainability, and broadening the constituency for environmental change.
- Invest in on-the-ground environmental improvement activities identified in the NGPES, with emphasis on biodiversity conservation and livelihoods enhancements.
- Operationalize the EPF to become a permanent entity that is eligible to use NT2 revenues for priority environmental protection activities in the country.

1.4. Contents of the Report

The Annual Report covers all the activities done by LEnS started from May 2006 to September 2006, mainly focus:

- Policy Implementation and Capacity Enhancement - PICE (Component A);
- Community and Biodiversity Investment - CBI (Component B); and
- Window Management Unit (Component C)

2. DEVELOPMENT OF SUB-GRANTS

2.1. General support for Grant Management

LEnS’ first year of project implementation faced some start-up problems due to impediment of opening project’s Special Account and staffing, slowing down many LEnS’ activities. However, after successfully opening the LEnS’ special account and recruiting LEnS staff, the Project has made some satisfied progress on the last quarter of the year, especially for the PICE and CBI components.

Under supervision of the project manager, PICE and CBI work together with project’s CTMA and 3 provincial facilitators to facilitate and assist applicants in preparing proposals. At the end of the FY 2005-2006, LEnS held more than 40s proposals from related sectors and 3 targeted provinces. More specifically, to support and assist the development of sub-grants, PICE and CBI technical staff and provincial facilitators have:

- Continuing assist applicants in preparation of proposals;
- Developed standard forms (see annex 1);

- Drafting LEnS, PICE and CBI brochures;
- Drafting technical guideline;
- Worked with the World Bank team in order get clarification;
- Finalized Operational Manual and translated into Lao;
- Finalized Project Implementation Plan and translated into Lao.
- Summarized table of proposal preparations (See Annex 2);

2.2. PICE Window (Component A)

In fiscal year 2005-2006, PICE Window has cooperated with related sectors and government agencies to help the preparation of grant proposals:

- Initiative Cooperation with various governmental agencies including ERI (STEA); Department of Environmental Management (STEA);



Division of energy and mining, Ministry of energy and mining; Department of Forestry (MAF); Ministry of Information and Culture; public agencies and three targeted

provinces in drafting grant proposals.

- Cooperated with WRCC in improving scope of work for Namtheun-Numkading integrated river basin management;
- Assisted Department of Hydropower, Ministry of Energy and Mining in providing the proposal for Hydropower Policy Implementation;
- Assisted Division of Environmental Management, Department of Environment in improving scope of work and developed into a proposal for resettlement;
- Assisted Division of Environment, Department of Industry, Ministry of Industry in improving development policy plan to control air pollution from industry activities;
- Assisted Science Educational Research Institution, Ministry of Education in improving proposals for :

- Development of teaching materials by using recyclable waste and local materials;
- Capacity building on integration of environmental education into school curriculum.

By the end of fiscal year 2005-2006, PICE window has received 25 proposals from central and provincial levels (See Annex 3).

2.3. CBI Window (Component B)

The following are the key activities done by CBI during fiscal year 2005-2006:

- Met with WCS team members to discuss common goals between WCS and CBI.



Currently, WCS implementing the project in five district of Bolikhamxay province such as Pharkading, Viengthong, Bolikhan and Pharksan district. The main activities being implement in those districts focus on the

conservation of biodiversity and protected areas which similar to CBI's objective. As a result, WCS is interesting to work with CBI by:

- Attending LEnS Inception Work Shop;
- Welcoming LEnS' technical staff for study tour at WCS' projects at Bolikhamxay province
- Inviting LEnS' technical staff to attend training workshop will be held by WCS;
- Participating in Peer Review (possible 4 technical staff from WCS)
- Assisted National University of Laos in improving proposal for herbarium laboratory improvement;
- Helped Bolikhamxay province improving proposals in:
 - Phou Ka Dan protected area management;

- Phou Koud protected area management;
- Nongnha area management.
- Helped Khammoune province improving proposals in:
 - Phahubbing provincial protected area management;
 - Phathumbing provincial protected area management;
 - Nam Sa Nam provincial protected area management;
 - Capacity building for PSTEO in development of environmental strategic plan;
 - Waste management in Thakhaek city by encourage community to participate in waste management;
 - Creating environmental standard to manage environment for industry.
- Assisted Savannakhet province improving proposals in:
 - Dongnatad protected area management;
 - Nongluang protected area management.

By the end of fiscal year 2005-2006, CBI window has received 17 proposals from central and provincial levels (See Annex 3).

3. WINDOW MANAGEMENT (COMPONENT C)

The main responsibility of Window Management Unit (WMU) is to support and facilitate the implementation of the other two components (PICE and CBI). During the FY 2005-2006, the Window Management Unit established the system to provide support for administrating the two components (PICE and CBI) in order to approve sub-grants to applicants who are capable in operating and managing sub-projects.



More specifically, the WMU focused on administrative and managing works such as:

- Completed Initial Implementation Workshop which held from 18-19 May 2006. The aims of the workshop is to introduce the WMU's staff and LEnS PSC to the Bank's guidelines and procedures and practical implementation experiences from other projects that are funded by the World Bank.
- Completed LEnS' Quarter III and Quarter IV reports and presented to all related bodies;
- Completed Annual Work Plan (AWP) for 2006-2007 and Quarter I plan for 2006-2007;
- Prepared time sheets for LEnS' office hours (from 8 am to 12 pm and 1 pm to 5 am), sick and annual leaves, the use of office equipment and vehicle;
- Completed register of LEnS' office equipments and furniture;
- Drafting regulation for the establishment of LEnS PSC;
- Preparing all the meetings and workshops such as PSC meeting, Inception workshop and Launching workshop in national.
- Status Summary Table of Covenants (up to December 31, 2006), see annex 4.

3.1. Procurement

In the fiscal year 2005-2006, LEnS' procurement has done:

- Completed the recruitment of LEnS staff which done in two times, December 2005 and April 2006 with total 12 staff members but some members failed to arrive on the time of the project starting;
- Completed office renovation and moved in on August 2006;
- Finalized Procurement Manual which edited by MoF and translating into Lao;
- Drafting procurement guideline for the procurement management of sub-grants;
- Completed procurement of office furniture, equipment and vehicle on September 2006. In fact, LEnS' procurement for office furniture and equipment done in two times, as the first procurement did not follow the World Bank's procurement guideline. Thus, LEnS has to conduct the second procurement with the result below:
 - Micro-Info Company won the bidding of office computers;
 - NP Equipment Company won the bidding of office digital camera;
 - Viengniyom Company won the bidding of office furniture.
- See Annex 5 as list of LEnS' procurement.

LEnS' Team Members (As of 30th September 2006)



Mr. Khampadith Khammounheung
(WMU Manager)



Mr. Douangchanh Lopaying
(PICE)



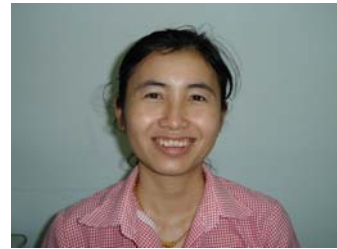
Ms. Khemngeun Pongmala
(CBI)



Mr. Phonethip Phetsomphou
(Savannakhet Facilitator)



Mr. Phetsamone Dalalom
(Khammouan Facilitator)



Ms. Kongkeo Ketmany
(Bolikhamxay Facilitator)



Mr. Frank Radstake
(CTMA)



Mr. Khammanh Philavong
(Procurement Officer)



Ms. Bouasone Soulitham
(Executive Assistant)



Mr. Sinthanouthong Sourigna
(Financial Officer)



Mr. Keomany Latsavanh
(Accountant)



Mr. Phetdalone Soukphaly
(Driver)

3.2. Financial performance

At the end of July 2006 LEnS successfully opened bank account. Until then the LEnS' first year of project implementation faced some start-up problems. While LEnS has no money to run the project, interesting free loan was offered by ERI just to cover office expense (excluded staff salary). The amount borrowed from ERI is US\$ 463.7 which will be paid back to ERI on first quarter of fiscal year 2006-2007.

After the first withdrawal from the World Bank on Aug 2006, the actually expenditure made by LEnS in this year from LEnS' special account is only UD\$ 37,918.9 which consider to be very low amount when compare to the annual projected budget (US\$ 136,125) of the expended amount USD 30,720 is repaid to staff salaries from May to July 2006 and monthly salary for August and September 2006); US\$ 4,811.9 is paid for office renovation and the balance amount (US\$ 2,387) is spent for administrative work and office supplies such as Office supplies, printing of Lao hydropower policy brochure, Internet, telephone installation-services and handy drives (USB); for more detail please refer to table below:

Table 1 - Actual Expenditure made by LEnS comparing to Annual Budget for Fiscal Year 2005-2006 by Category, Payment made during the period from 9 April 06 to 30 September 2006.

Item No.	Category	Actual Expenses Apr. 06 – Sept. 06	Budget Fiscal year 2005-2006	Variance	Actual Expenditures% Apr. 06 – Sept. 06	Budget Fiscal year% 2005-2006	Variance %
I.	Sub – Grants						
	PICE Grants	0	0		0%	100%	100%
	CBI Grants	0	0		0%		100%
II.	Consultants` Services	30,720	70,925	39,463.7	83 %	100%	17%
III.	Training / Workshops	0	10,000	10,000	0 %	100%	100%
IV.	Goods	1,003.9	45,000	44,737.4	0.7 %	100%	99.3%
V.	Incremental Operating Costs	6,19 5	10,200	4,005	16.3 %	100%	83.7%
	Grant Total	37,918.9	136,125	98,206.1	28%	100%	72%

In this year budget for component A and B (PICE and CBI) is not applicable yet due to no sub-grants have been approve. The following activities are done/being done by financial team:

- Completed the implementation of annual budget plan for the fiscal year 2005-2006;
- Opened bank account at the Lao Development Bank, account No. 01.4521002.14 and follows the World Bank' guideline to withdraw money from World Bank office in Manila;
- Attended financial training from Suford project;
- Improved Financial Manual and translated into Lao and asked for editing from Ministry of Finance;
- Completed annual budget plan for the fiscal year 2006-2007 ;
- Completed payment for office renovation, staff salaries;
- Designing financial management manual for sub-projects;
- Preparing the installation of Financial Management Program for LEEnS' financial and accounting management system, the program is identical as EPFs. After installation the training is needed for LEEnS' financial and accounting staff to effectively using the program. LEEnS is contacting CAAT Consulting Company, APIS Resources Co. Ltd and Data Com Ltd for the installation and training;

4. LESSON LEARNED

During the implementation of the LEEnS Project, certain logistical and institutional constraints were encountered which were not foreseen during the design of the LENS Project. These are currently being addressed, in close cooperation with the EPF Executive Office and the Project Steering Committee. As a result it is expected that the implementation of the LENS will show an improved and satisfactory implementation Progress.

5. PLANNING FOR FISCAL YEAR 2006-2007

Due to the delay in the start-up of the Project, the new planning of activities has been prepared, as detailed in the Annual work plan for FY 2006-2007. The key activities which are planned for the coming FY include:

- Start with the implementation of small and larger sub-grants for both PICE and CBI window;
- Establish close working relations with other Stakeholders, in Lao PDR, in particular with the Provincial Governments in Borikhamxay, Khammuane, and Savannakhet through the Provincial facilitators;
- Closer integration of the LENS into the EPF, including the establishment of a combined Accounting/Information Management System.

ANNEX

Annex 1 - List of Prepared Standard Forms

Code	Name	Type	Component	Status
BR01	LENS Brochure	Brochure	General	Final draft
BR02	PICE Brochure	Brochure	CBI	Final draft
BR03	CBI Brochure	Brochure	CBI	Final draft
OD01	EPF Decree	Official Document	General	
OD02	LENS Development Grant Agreement	Official Document	General	
OD03	LENS Subsidiary Grant Agreement	Official Document	General	
OD04	EPF Charter	Official Document	General	
OD05	LENS Project Implementation Plan	Official Document	General	
OD06	LENS Financial Manual	Official Document	General	Being updated
OD07	LENS Procurement Manual	Official Document	General	Being updated
OD08	LENS Operations Manual	Official Document	General	Being updated
OD09	LENS Monitoring Framework	Official Document	General	Being Prepared
OD10	LENS Project Appraisal Document (WB)	Official Document	General	
SF01	PICE Smaller SG Application Form	Application Form	PICE	Final
SF02	PICE Larger SG Application Form	Application Form	PICE	Final
SF03	Community Smaller SG Application Form	Application Form	CBI	Final
SF04	PA Smaller SG Application Form	Application Form	CBI	Final
SF05	PA Larger SG Application Form	Application Form	CBI	Final
SF06	Proposal Tracking Form	Administrative Form	General	Final
SF07	Proposal Evaluation Form	Administrative Form	General	Final
SF08	SG Screening Form	Administrative Form	General	Final
SF09	SG Monitoring Form	Administrative Form	General	Final
SF10	General SG Contract Form	Contract Form	General	Draft
SF11	Community SG Contract Form	Contract Form	General	Draft
SF12	Sub Grant Approval Form	Administrative Form	General	Final
TG01	PICE Technical Guideline	Technical Guideline	PICE	Final draft
TG02	CBI Technical Guideline	Technical Guideline	CBI	Final draft

Annex 2- Summary Table of Proposal Preparations (as of Oct 15, 2006)

<u>SUB-COMPONENTS:</u>		<u>PROJECTS SUMMARY:</u>			<u>BUDGET SUMMARY:</u>				
<u>Sub-</u>	<u>Component Name</u>	<u>Estimated Budget</u>	<u>Proposed</u>	<u>Reviewed</u>	<u>Committed</u>	<u>Proposed</u>	<u>Reviewed</u>	<u>Committed</u>	<u>Disbursed</u>
A	PICE Window								
A1	Environment and Social Monitoring and Policy	\$ 152,000	4	1	-	\$ 404,600 266%	\$ 68,600	\$ - 0.0%	\$ - 0.0%
A2	Integrated River Basin Management	\$ 427,000	1	1	-	\$ - 0%	\$ 329,500	\$ - 0.0%	\$ - 0.0%
A3	Hydropower Sector Sustainability initialive	\$ 427,000	1	1	-	\$ 477,600 112%	\$ 322,100	\$ - 0.0%	\$ - 0.0%
A4	Social Safeguard Management	\$ 351,000	1	1	-	\$ 331,986 95%	\$ 152,100	\$ - 0.0%	\$ - 0.0%
A5	Environment and Education Awareness	\$ 152,000	12	3	-	\$ 106,772 70%	\$ 30,000	\$ - 0.0%	\$ - 0.0%
	Sub-total:	\$ 1,509,000	19	7	-	\$ 1,320,958 88%	\$ 902,300	\$ - 0.0%	\$ - 0.0%
B	CBI Window							\$ -	
B1	PA Management Activities	\$ 980,000	18	-	-	\$ 242,198 25%	\$ -	\$ - 0.0%	\$ - 0.0%
B2	Community Environment Development Activities	\$ 575,000	-	-	-	\$ - 0%	\$ -	\$ - 0.0%	\$ - 0.0%
	Sub-total:	\$ 1,555,000	18	-	-	\$ 242,198 16%	\$ -	\$ - 0.0%	\$ - 0.0%
	Total (PICE/CBI Windows):	\$ 3,064,000	37	7	-	\$ 1,563,156 51%	\$ 902,300	\$ - 0.0%	\$ - 0.0%
C	WMU Management							Committed	Disbursed
C1	WMU Operation	\$ 554,800						\$ - 0.0%	\$ 267 0.0%
C2	Marketing and Business Development	\$ 302,200						\$ - 0.0%	\$ - 0.0%
C3	Guidance, Evaluation and Monitoring	\$ 79,000						\$ - 0.0%	\$ - 0.0%
		\$ 936,000						\$ - 0.0%	\$ 267 0.0%
	Grand-total	\$ 4,000,000						\$ - 0.0%	\$ 267 0.0%

Annex 3 - Current status of sub-grant proposals

Number	Name	Applicant	Sub-Component	Proposed Amount	Submitted	Approval Date
PICE-0001	Capacity building on integration of Environment Education into formal school curriculum.	National Research Institute for Educational Sciences (NRIES)	A5	\$ 10,000	-	-
PICE-0002	Improvement of the Herbarium of the National University of Lao P.D.R. project	Faculty of Science, NUoL	A5	\$ 6,812	-	-
PICE-0003	Strengthening air emission in Lao PDR	MIH/Department of Industry	A1	\$ 260,000	-	-
PICE-0004	Scope of Resettlement	DoE/STEA	A4	\$ 331,986	-	-
PICE-0005	Scope of hydropower sector policy support	MEM	A3	\$ 477,600	-	-
PICE-0006	Scope of Integrated River Basin Management	WRCC	A2	\$ 417,100	-	-
PICE-0007	Technical material development for NTFPs and Eco-tourism management subject	NUoL/ Forestry Faculty	A5	\$ 9,000	-	-
PICE-0008	Capacity Building through formal education	PSTO of Khammuane	A5	\$ 20,400	-	-
PICE-0009	Using recycled waste for making teaching materials in primary schools	National Research Institute for Educational Sciences (NRIES)	A5	\$ 10,000	-	-
PICE-0010	Management and utilization of Non-Timber Forest Product in Napo Village Sangthong District, Vientiane Capital	Faculty of Science, NUoL	A5	\$ 6,400	-	-
PICE-0011	Promote gender for effective and economy use of home fuel	Woman Union of STEA and PMO	A5	\$ 10,000	-	-
PICE-0012	Management and monitoring impact from productive activities	PSTEO Khammouan Province	A1	\$ 10,000	-	-
PICE-0013	Technical Capacity Building on Environment for Province, districts and villages	Provincial Science Technology and Environment Office and Provincial Agriculture and Forestry	A1	\$ 84,000	-	-
PICE-0014	Environmental Awareness and Green School	Provincial Education Sector	A5	\$ 9,900	-	-
PICE-0015	The Role of Gender and Environmental Protection	Provincial Lao Women Union	A5	\$ 4,330	-	-
PICE-0017	Environmental Protection Dissemination of SAVAN PHATTANA Newspaper	Provincial Information and Culture Office	A5	\$ 9,600	-	-

Number	Name	Applicant	Sub-Component	Proposed Amount	Submitted	Approval Date
PICE-0018	Environmental promotion on radio	Radio station of Savannakhet province	A5	\$ 3,480	-	-
PICE-0019	Television program on Environment	Television station of Savannakhet province	A5	\$ 6,850	-	-
PICE-0020	Biodiversity garden 14 km, Vientiane C	Biodiversity garden Unit Km14/ STEA	A5	\$ 10,000	-	-
PICE-0021	Strengthen Community for environmental conservation and management	PSTEO Khammouan Province	A1	\$ 9,950	-	-
PICE-0022	Environmental Care project	PSTEO Khammouan Province	A5	\$ 9,875	-	-
PICE-0023	Environmental conservation volunteer	PSTEO Khammouan Province	A5	\$ 9,707	-	-
PICE-0024	Environmental recycling	PSTEO Khammouan Province	A5	\$ 10,000	-	-
PICE-0025	Capacity building on Environment & Development Training Institutional Strengthening and it Network Development	ERI	A1	\$ 50,600	October 11, 2006	-
B-0001	Protected Area Management Phou Koud	PSTEO Bolikhamxay Province	B1	\$ 9,975	-	-
B-0002	Protected Area Management Phou Kadan	PSTEO Bolikhamxay Province	B1	\$ 9,975	-	-
K-0001	Strengthen Community for environmental conservation and management	PSTEO Khammouan Province	B1	\$ 9,950	-	-
K-0002	Environmental Care project	PSTEO Khammouan Province	B1	\$ 9,875	-	-
K-0003	Environmental conservation volunteer	PSTEO Khammouan Province	B1	\$ 9,707	-	-
K-0004	Environmental recycling	PSTEO Khammouan Province	B1	\$ 10,000	-	-
K-0005	Protected Area Management Pha Tham Bing	PSTEO Khammouan Province	B1	\$ 17,000	-	-
K-0006	Protected Area Management Nam Sanam (Hin Boun District)	PSTEO Khammouan Province	B1	\$ 10,000	-	-
S-0001	National Protected Area Management and Protection of Don Phou Vieng	PAFO Savannakhet province	B1	\$ 16,929	-	-
S-0002	National Protected Area Management and Protection of Phou Xang Hae	PAFO Savannakhet province	B1	\$ 25,386	-	-
S-0003	National Protected Area Management and Protection of Sae Ban Nuane	PAFO Savannakhet province	B1	\$ 7,985	-	-
S-0004	Provincial Protected Area: Management and Protection of Dong Non Peua	PAFO Savannakhet province	B1	\$ 2,276	-	-
S-0005	Provincial Protected Area: Management and Protection of Dong Non Louang	PAFO Savannakhet province	B1	\$ 5,284	-	-

Number	Name	Applicant	Sub-Component	Proposed Amount	Submitted	Approval Date
S-0006	Management and Protection of Forest for Water Resource Protection in Phou ka Sad, La Ving and La Veune Areas	PAFO Savannakhet province	B1	\$ 16,427	-	-
S-0007	Provincial Protected Area: Management and Protection of Dong Na Tad		B1	\$ 23,429	-	-
S-0008	Forest Management and Sustainable Use of Biodiversity in Dong Na Tad Protected Area	PSTEO Savannakhet province	B1	\$ 38,000	-	-
S-0009	Tourism Development in Nong Lom at Dong Na Tad Protected Area	Provincial Tourism Office	B1	\$ 10,000	-	-
S-0010	Tourism Development in Nong Lom at Dong Na Tad Protected Area	Provincial Tourism Office	B1	\$ 10,000	-	-

Annex 4 - Status Summary Table of Covenants (up to Dec 31, 2006)

Current Due Date	Original Due Date	Sub-Component	Completed	Type	Agreement	Key-Partner	Status
1/31/2006	1/31/2006	C3	Yes	Covenant	Consultation workshop FY05-06	STEA	Cancelled, LEnS Not effective yet
2/11/2006	2/11/2006	C1	No	Other	Establish computerized accounting system	EPF Board of Directors	Being Prepared.
			Yes	Other	Adopt and Apply a LEnS Financial Manual	EPF Board of Directors	Being updated during implementation
				Other	Adopt and Apply a LEnS Operations Manual	EPF Board of Directors	Being updated during implementation
				Other	Adopt and apply LEnS Institutional Framework for Implementation	EPF Board of Directors	Being updated during implementation
				Other	Adopt and Apply LEnS procedures for monitoring, evaluation and reporting	EPF Board of Directors	Being updated during implementation
				Other	Adopt and Apply LEnS Procurement Manual	EPF Board of Directors	Being updated during implementation
		EPF/GoL	No	Other	EPF Five Year Strategic Plan	EPF Board of Directors	Being Prepared.
			Yes	Effectiveness	EPF Board of Directors appointed	PMO	Decree 72 (Nov 1, 2005 ??)
					EPF Regulations Article 8(4) adopted by EPF Board of Directors	EPF Board of Directors	EPF BoD Meeting of January 24, 2006
					Subsidiary Grant Agreement executed	MoF	Signed on April 6, 2006
				Other	Adopted Decree on Resettlement and Compensation	EPF Board of Directors	Being printed through SEM-II Project
			Other	Authorized Environmental and Social Safeguards Framework	EPF Board of Directors	Approved in the EPF BoD Minutes of January 24, 2006	
			Other	EPF Executive Director Appointed	EPF Board of Directors	Director appointed Jan 24, 2006	
			Other	Project Implementation Plan approved and adopted by EPF BoD	EPF Board of Directors	Approved in the EPF BoD Minutes of January 24, 2006	
			Other	Recruitment Plan for	EPF Board of	All WMU staff recruited	

Current Due Date	Original Due Date	Sub-Component	Completed	Type	Agreement	Key-Partner	Status
					the WMU	Directors	
					WMU CTMA Appointed	EPF Board of Directors	Started Aug 21, 2006
					WMU Environmental Officer Appointed ???	EPF Board of Directors	PICE Officer started May 5, 2006
					WMU Financial Officer Appointed	EPF Board of Directors	Started May 8, 2006
					WMU Manager Appointed	EPF Board of Directors	Started May 1, 2006
					WMU Procurement Officer Appointed	EPF Board of Directors	Started May 8, 2006
					WMU Social Specialist Appointed ???	EPF Board of Directors	CBI officer started July 1, 2006
4/14/2006	2/11/2006	A1	Ongoing	Sub-Grants	Carry out sub-projects under Part A1	STEA	Sub-grants being reviewed and approved.
		A2	Ongoing	Sub-Grants	Carry out sub-projects under Part A2	(blank)	Sub-grants being reviewed and approved.
		A3	Ongoing	Sub-Grants	Carry out sub-projects under Part A3	(blank)	Sub-grants being reviewed and approved.
		A4	Ongoing	Sub-Grants	Carry out sub-projects under Part A4	(blank)	Sub-grants being reviewed and approved.
		A5	Ongoing	Sub-Grants	Carry out sub-projects under Part A5	(blank)	Sub-grants being reviewed and approved.
		B1	Ongoing	Sub-Grants	Carry out sub-projects under Part B1	(blank)	Sub-grants being reviewed and approved.
		B2	Ongoing	Sub-Grants	Carry out sub-projects under Part B2	(blank)	Sub-grants being reviewed and approved.
		EPF/GoL	Ongoing	Other	Appoint and maintain a Project Steering Committee	EPF Board of Directors	Approved in the EPF BoD Minutes of January 24, 2006
			Yes	Other	Establish and Maintain a Management Unit	EPF Board of Directors	Approved in the EPF BoD Minutes of January 24, 2006
6/30/2006	6/30/2006	A4	Yes	Covenant	Establish and maintain a division or unit responsible for the	STEA	Unit operational under the ESIA Division (DoE)

Current Due Date	Original Due Date	Sub-Component	Completed	Type	Agreement	Key-Partner	Status
					implementation of the Decree on Resettlement and Compensation		
7/16/2006	7/16/2006	C1	Yes	Report	Quarterly Financial Report Q3 FY05-06	WMU	Submitted on ???
8/10/2006	8/10/2006	C1	No	Report	Updated LENS Procurement Plan	EPF Board of Directors	-
9/30/2006	12/31/2005	A2	No	Covenant	Draft WRCC Proposal to Design an Intergrated approach to manage the river basin of Nam Theun/Nam Kading	WRCC	-
10/1/2006	10/1/2006	C1	No	Report	Annual Monitoring Report FY05-06	WMU	-
11/15/2006	11/15/2006	C1	No	Report	Quarterly Financial Report Q4 FY05-06	WMU	-
12/31/2006	6/30/2006	A1	No	Covenant	Provincial Environmental Strategy and Action Plan Bolikhamxay Province	Bolikhamxay Province	Being translated, not yet submitted
					Provincial Environmental Strategy and Action Plan Khammouane Province	Khammouane Province	Translated already, not yet submitted
					Provincial Environmental Strategy and Action Plan Savannaket Province	Savannaket Province	Being translated, not yet submitted
	12/31/2006	A3	No	Covenant	Draft Institutional Framework for monitoring the implementation of the National Policy on Environmental and Social Sustainability of the Hydropower Sector	MIH	-

Annex 5 – List of LENS' Procurement

Office Furniture

Accessed date: 31 August 2006

No.	Description	Unit	Unit Price (Lao Kip)	Total Amount (Lao Kip)
1	Office Desk L Sharp BD167	11 Units	2,937,600	32,313,600
2	Office Desk LD127-WL	1 Unit	1,768,000	1,768,000
3	Office chair LSC 421	12 Units	866,000	10,392,000
4	4 Drawers Filing Cabinet FC054	1 Unit	1,125,000	1,125,000
5	Safe Fire resistant type SS	1 Unit	1,095,000	1,095,000
6	Sliding door cupboard 304	1 Set	1,850,000	1,850,000
7	Filing Shelves FS410	2 Units	1,200,000	2,400,000

Office Equipment

Accessed date: 19 November 2006

No.	Description	Unit Price Lao Kip	Amount Lao Kip	Warranty
1.	-08 sets of Desktop Computer	10 103 000	824 000	3 years
2.	-03 units of Laptop Computer	9 109 200	327 600	1 year
3.	-01 unit of LCD Projector	13 210 600	13 210 600	1 year
4.	-02 units of Printer	6 666 000	13 332 000	1 year
5.	-05 units of Handy Drive	353 500	1 767 500	1 year
6.	-01 set of Photocopier	18 978 000	18 978 000	1 year
7.	01 unit of Scanner	2 562 000	2 562 000	1 year
8.	-02 units of Digital Camera	2 415 000	4 830 000	1 year
9.	01 unit of telephone	210,000 Kip		
10.	01 unit of fax machine	USD 165		

Project Vehicle

Accessed date: 01 November 2006

Price : USD 21,000