



Laos People's Democratic Republic
Peace Independence Democracy Unity and Prosperity

Environment Protection Fund

PAW/LENS2 Sub-project Application

General Instructions: Please refer to the Project Implementation Manual (PIM) Volumes 1 to 5 for subproject design, implementation and monitoring/evaluation (M&E). In summary:

- Applications should be submitted in both Lao and English to EPF Office. Translating the application is SDA's responsibility. It can be financed by the subproject preparation facility, if such facility has been mobilized, or pre-financed by EPF.
- Applications must demonstrate that the subproject meets the following eligibility criteria: (a) supports a Government of Lao PDR policy, strategy and/or an official plan; (b) contributes to at least one outcome indicator and at least one intermediate outcome indicator from the LENS2 results framework; (d) fits into either the PICE or CBI EPF funding windows; (e) excludes activities from the negative check-list in the PIM, and (f) aligns with project's geographical scope which includes the national/central level and the following provinces: Bolikhamxay, Khammouane, Houaphan, Xiengkhouang, Luang Prabang, Savannakhet, Vientiane and Xaysomboun.
- Applications for protected area and wildlife sub-projects must demonstrate that the subproject meets an additional eligibility criteria: contributes to a regional or global outcome such as cross-border cooperation, knowledge transfer or prevention of illegal wildlife trade.
- Sub-projects are approved for their proposed duration. However, implementation requires the prior annual approval of an Annual Work Plan and Budget (AWPB). EPF has the possibility to cancel an approved subproject if the annual performance is consistently unsatisfactory and the achievement of its objective has become unlikely.
- An SDA can only implement one subproject at a time although additional financing is possible, or per provincial office division, or per faculty or per autonomous institution or partnership is permitted.

EPFO Sub-project number:

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| 1. Sub-project delivery agency (SDA) (name/address) | XiengKhouang Provincial Forest Resources Management Section (PFRM) of the Provincial Office of Natural Resources and Environment (PONRE) Li village, Pek District, XiengKhouang Provinces, Lao PDR, Tel:061 213 434, Fax:061 213 253 E-mail: @yahoo.com |
| 2. SDA focal point (name/function/contact details) | SengvongNyosaykham, Deputy Head, Provincial Office of Forest Resource Management (PFRM) of XiengKhouang Province. Tel: 061 213434, Fax: Mobile:020 22342099, E-mail : Nhsengvong@hotmail.com |
| 3. Geographic scope (can be more than one option) | <input type="checkbox"/> International level <input type="checkbox"/> Central/national level <input checked="" type="checkbox"/> Provincial/district level. Please indicate target provinces: Phou Kout District, XiengKhouang Province <input type="checkbox"/> Protected area. Please indicate (NPA, protection forest, conservation forest and name): |

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| 4. Sub-project title | Coordination for protected area and protection forest management in Xieng Khouang Province |
| 5. Amount requested (US\$m) | \$ 277,000 |
| 6. EPF's eligible funding window <i>(please check only one window)</i> | <input type="checkbox"/> Policy Implementation and Capacity Enhancement (PICE) <input checked="" type="checkbox"/> Community Biodiversity Investment (CBI) |
| 7. Project Development Objective¹ | To help strengthen selected environmental protection management systems, specifically for protected areas conservation, enforcement of wildlife laws and environmental assessment management |
| 8. Sub-project related sectoral and institutional context, challenges and priorities <i>(Describe role of SDA, current capacity and activities related to PA/wildlife/env. and social safeguards, challenges and priority needs and approach proposed to address priority needs/gaps).</i> | <p>Overview of Xieng Khouang Province: Xiengkhouang Province is located in the northeast of the country, comprised of 7 districts with 282,769 inhabitants and shares its border with Vietnam Nge An province. Xiengkhouang Provincial Department of Natural Resource and Environment (PONRE) shares a small part (8,000ha) of Nam Et Phou Loey National Protected Area (NEPL NPA) (420,000ha) and is also responsible for the management of Phou Sabot Pongchong NPA (149,040ha) and 5 National Protection Forests (NPF): Nam Ngum NPF (217,193ha), Nam Mat NPF(163,694ha), Nam Khiene-Nam Mo NPF (180,041ha) , Nam Ngiep NPF (144,463ha) and Nam Xan- Nam Pheuk NPF (19,506ha), with the total of 881,975ha. (Details are provided as in the map on forest land use below). Nam Ngum NPF is considering priority for biodiversity conservation and watershed protection and management due to its location upstream of 1 existing hydropower operations (Nam Ngum 5). There are thirteen provincial protected areas (PPA). Key outputs from these projects included a draft management plan for Phou Sabot Pounchong NPA. However lack of budget limited their effective implementations. Funding supports for other PAs are not yet available.</p> <p>As the previous EPF-funded sub-project on the Nam Ngum watershed management plan completed by 2013, recommended that the management of Nam Ngum NPF was priority, Xiengkhouang PONRE will therefore propose the management of Nam Ngum NPF as additional activities of the present sub-proposal. As Nam Ngum NPF is large, only about 30% of the NPF area (217,173ha) will be the area of additional financing with focus on 20 villages under 4 districts (PEk, Phou Koud, Phaxay, and Kham). The area is rich in biodiversity and habitat of a number of nationally and globally threatened species: Century tea tree, Fokinia hidginsii, gaur (<i>Bos gaurus</i>), Salamander (Homaloptera). In addition, the water is used for household consumption by Pek and Phoukoud district people. Nam Ngum management approach is mainly to build local communities ownership in the management as well as forest resource management through giving assistance in livelihood development. In this regard, it needs conservation agreements for villagers to take responsible for patrolling and managing within their village administrative areas.</p> <p>Current Capacity: PFRM of PONRE is responsible for management of all protected areas in Xieng khouang province. The protected area management of the province has barely any activity being implemented systematically as it is a new province for conservation work and does not receive any funding support. Although the Wildlife Conservation Society (WCS) has funding for the NEPL NPA, so far, the PFRM has not much involved in NEPL. Previous modest support by EPF presented opportunity for the provincial and district staff to plan and implement the</p> |

¹ This is the proposed LENS2 PDO. Until LENS2 project becomes effective, sub-projects for LENS2 will not be processed for approval.

work themselves and there are key staff who have knowledge and experience in planning, awareness raising, and implementation of projects including ways to engage local community in management of protected areas. At present, there are 26 staff working including those at district level of which 3 are volunteers and PFRM activities are carried out according to funding available. Most of these staff new to PFRM and were reassigned from other sections. Number of district staff working at district office is low. Forest Resource Management Unit of District Office of Natural Resource and Environment (DoNRE) of Phou Kout only 6 staff. In term of equipment and vehicles, PFRM has only 2 Pick-Up vehicles that were granted by Japanese Government and only 1 motor bike. Therefore, for any fieldwork PFRM has to propose for obtaining vehicles from PoNRE and at some occasion, the use of private vehicle is done. Funding support is also limited and depending on budget available from projects.

Challenges and priority needs: In addition to inadequate number of staff, most of the current staff responsible for protected area management particularly those newly recruited have limited knowledge and not yet be trained systematically and actively. Participation and ownership of key stakeholders including villagers and other government sectors for protected area management is limited including cooperation with neighboring provinces particularly with Houaphan and Luang Prabang regarding NEPL NPA. Due to the lack of budget for PFRM staff to actively participate in the management activities. Smuggling of timbers and wildlife in the protected area particularly along Lao-Vietnam border is an issue in Phou Sabot Pongchong NPA. Cooperation for planning with Vietnam on protected area management along the border has not been conducted seriously. Activities and implementation progress for protection forests management has been slow and limited as their management initiatives from planning have not been undertaken yet. Coordination and cooperation among PFRM staff and provincial partners in key sectors (hydropower, mining, and roads) is also lacking.

Therefore, the priority needs are (1) training to enhance the knowledge and capacity of PFRM and district staff/volunteers that are newly recruited particularly on conservation principles and protected area management including patrolling, outreach, zoning, as well as establishing regulations and implement law enforcement and (2) development of manuals/guidelines on management plan, planning and reporting for the provincial team including improving mechanisms for coordination within the province and Vietnam and support to the organization responsible for protected area management such as WCS and other supporting tools such as database and necessary manuals/guidelines. At the same time, effort will be made to strengthen PFRM capacity to conduct management initiative for protected forests in Nam Ngum Protected Forest Area NPFA.

Implementing Approach: Most of the activities will be carried out in close coordination and cooperation with and supervision of the Department of Forest Resources Management (DFRM) especially on training and development of manuals/guidelines. The training activities will be conducted through a series of training that may be facilitated by DFRM and the National University of Laos (NUoL) for provincial level where appropriate. A series of workshops will be conducted within the province specifically for key sector partners of PFRM managing PAs and PFAs such as POFI, PAFO, WCS, and other international organization. Also, training in specific topics related to policy and some field practice by the province is necessary. It is expected that DFRM will provide guidance on necessary tools (guidelines and manuals) to support management of protected areas and protection forests. The provincial trainer team will provide necessary training for the district team with assistance from short-

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| | term experts to be hired from time to time during the planning. The expert will also advise on project implementation and monitoring as well as preparation of subproject progress reports as required by the provinces, EPF, and/or WB. |
| 9. Sub-project objective (<i>only one sentence</i>) | To build capacity and coordination mechanism of PFRM in management protected areas (PAs) and protection forest (PFs) in Xiengkhuang Province. |
| 10. GoL Policy/Plan/Strategy supported by Sub-project (<i>including provincial if applicable</i>) | <p>Forestry Strategy to the Year 2020, by mainly protecting forest cover and not to convert protected areas - to increase forest cover at 70% by 2020.</p> <p>National Environment Strategy for the year 2020 – to have good environment.</p> <p>The subproject supports important national and regional strategies as below:</p> <p>National Biodiversity and Action Plan to the year 2020, by mainly protecting richness of biodiversity in protected areas</p> <p>Tiger National Action Plan, by mainly prohibition a trade of tiger's parts</p> <p>Poverty Reduction Strategy for the year 2020 by maintaining food sources and incomes through sustainable use of forest products.</p> |
| 11. Sub-project Regional or global outcomes (for protected area and wildlife related sub-projects only: <i>e.g. cross border cooperation, knowledge transfer, prevention of regional illegal wildlife trade</i>) | With the subproject interventions to make availability of the improved system, mechanism and increase knowledge or capacity of government staff through trainings, knowledge exchange and enhance a relationship of cooperation in the region especially with Vietnam as to prevent illegal poaching of forest resources crossing the border. This subproject will improve effectiveness of protected area management. Therefore, improvement of this work will contribute to the implementation of international conventions and regional agreements such as Convention on Biological Diversity (CBD), Convention on International Trade in Endangered Species (CITES), Lao-WEN and Mekong Biodiversity and Forestry Programme as well as the Lao-Vietnam Cooperation. |
| 12. Sub-project Outcome Indicators <i>Select and list the related outcome and intermediary outcome indicators from the PAW/LENS2 Results Framework and describe additional sub-project specific outcome indicators. Outcome/impact is the longer-term benefit of particular goods or services to a target group.</i> | <p>Sub-Project Outcome Indicators:</p> <ol style="list-style-type: none"> 1. Score of functional capacity of XKH-PFRM (annual) (also LENS2 Outcome indicator 3). 2. The direct project beneficiary of which (20%) is a woman as measured by additional staff of public and partner institutions having completed basic adaptive training (short courses) and study tours (also LENS2 IO indicator 1.1) 3. Lessons learned from regional travel, workshop with Vietnam, interaction with international NGOs that are effectively incorporated in provincial planning or management of protected areas 4. Approved second LENS2 subproject for XKH province with a focus on the Nam Ngum NPF. |
| 13. Sub-project main outputs (<i>Goods or services provided by the sub-project's intervention (supply-driven) e.g. x staff trained; guidelines on x developed</i>) | <p>1. Capacity building and coordination for PA and PF management</p> <p>1.1 Technical staff will be trained in practice of protected area management (30 staff).</p> <p>1.2 Technical staff and decision-makers will be participated in study tour on protected area management (3 times, 20 persons).</p> <p>2. Support for PA and PF management</p> <p>2.1 Stakeholder workshops toward better protected area management will be held for 4 times (as 2 each be held at provincial and district level).</p> <p>2.2 Key partner workshops on PAs and PFs Management (4 Times)</p> <p>2.3 Strengthening the capacity of Phou Kout DoNRE on PA mgt and</p> |

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| | <p>coordination for NEPL mgt (6 times).</p> <p>2.4 Participate in planning and monitoring of NEPL NPA management (8 times)</p> <p>2.5 Conduct conservation awareness raising and dissemination on relevant laws at markets (8 times), at target villages including Phou Sabot Pong Chong NPA (9 villages) and international days to the public event (4 times).</p> <p>2.6 Draft Provincial PA and PF strategy - Workshop with 7 Districts of Natural Resources and Environments to draft Provincial PAs and PFs Strategy for long term management (2 times per each)</p> <p>2.7 Prepare subproject proposal of improved coordination and cooperation for Nam Ngum protection forest (NPF) management with complete safeguard the process.</p> <p>3.Subproject administration and monitoring</p> <p>3.1 Reports of subproject administration and monitoring (annuals)</p> <p>3.2 Deliverables” proposed in the AWPB completed each year (annual) by PFRM</p> |
| <p>14. Sub-project Components and Activities: <i>List the components and main activities to produce outputs above. Do not use more than 5 components. Details will be in Annual Work Plan and Budget (AWPB) annex attached.</i></p> | |
| <p>Component</p> | <p>Main activities</p> |
| <p>Component 1. Capacity building and coordination for PA and PF management</p> | <p>TA, training and workshop for PFRM capacity building</p> <p>Activity 1.1 Staff training in priority topics of protected area management. The central level (Department of Forest Resource Management) and National University of Laos (NUoL) responsible for training for some provincial staff focusing on building training of trainers that be able to train other staff especially at the district level as well as protected area staff. Training in general theory or principle of protected area management should be conducted by central level, but training knowhow in practice should be the provincial team such as in monitoring practice, planning and reporting, basic field technique, outreach and planning for land use including zoning for management. The training on-the-job training as training in class only for young staff cannot apply the knowledge gained completely into real practice. In addition, handbook/guides to support this work should be developed by the central level (DFRM) but must be appropriated with the local needs and conditions. Anyway, provincial staff may be able to organize training themselves in year 2 onward.</p> <p>Activity 1.2 Study tour of staff and decision-makers Study tour and for lesson exchange with best practice projects on protected area management, co-management and cooperation will be held in the country and also abroad. The study tour will be arranged for whom having good performance as to obtain new lessons and also for decision-makers on lessons exchange with best practice project on protected area management. By selecting good performance staff is some sort of incentive and will encourage them to work actively and competitively. This study tour arrangement needs to work out with DFRM. Prior to the study tour it needs to prepare advance regarding the topics of interest and how to use the lessons into their work afterward.</p> |
| <p>Component 2. Support for PA and PF management</p> | <p>Outreach partners, Vietnam colleagues and general public in the province</p> <p>Activity 2.1 Stakeholder workshops of province and district</p> <p>The stakeholder workshops on protected area at provincial and district</p> |

level has not been held regularly. This workshop is to consult toward better protected area management and to avoid any development projects that might impact on the PAs. Any existing or planned projects of provincial departments or sectors which would impact on PAs has to be raised for discussion and finding solution as to mitigate negative impacts on biodiversity. Both provincial and district workshops need Provincial or Vice Provincial Governor to give a speech on dissemination the roles and responsibilities of PRFM/PoNRE and DoNRE, to call for relevant departments to participate in protected area management.

Activity 2.2 Key partner workshops

The key partner workshop is a small technical workshop or working group of key partners who have mandates on conservation working in the province but including the participation of DFRM to develop a better coordination for managing protected areas and protection forests in the province. This workshop is to identify key issues, gaps of coordination within the province and to national or district level. From the workshop the group may create principles or some agreement for better coordination and also cooperation as to report to the provincial stakeholder workshop.

Activity 2.3 Conservation awareness raising and dissemination of laws and regulations on protected area and wildlife.

Conservation awareness raising for public, officials, soldiers, police and traders is essentially important. This activity it needs to also disseminate laws and regulations of protected area, to raise conservation awareness to target groups and market, target villages in Phou Kout District and Phou Sabot Pongchong NPA, in particular. The awareness raising at market is more about regulation dissemination and that necessary to work with market's owners, managers and of restaurants to understand and obtain their cooperation for prevention of illegal wildlife trading. Signboards may be renovated or newly established where necessary but require village authority and market managers to be part of it.

Conservation awareness raising to general public particularly a need for government department or sectors to be aware and ownership in cooperation for prevention and addressing threats to protected areas. This may be held through appropriate provincial meetings/workshops and any celebration of international and national important days. Also, through radio program to encourage any organizations to obtain the importance and necessity of cooperation for prevention any impact or encroaching into protected areas.

Support NEPL NPA planning and management

Activity 2.4 Strengthening capacity for District Office of Natural Resource and Environment (DoNRE)

Forest and water management unit of DoNRE especially the districts are involved in NEPL NPA management has not had full roles and responsibilities to participate in planning and implementing their mandates as necessary. Thus, activity plan should be supported by PFRM by providing necessary training and guiding some tasks from time to time as to meeting ability in carrying out their work in the future. Particularly, the participation and contribution to the management of the NPA to be better effective.

Activity 2.5 Participation in NEPL NPA Management Planning

So far, the planning and management of NEPL NPA has not yet well cooperated with other relevant government departments as necessary. It is only some staff assigned to work with them. Thus, the management planning of the NPA with relevant key departments or sectors is

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| | <p>necessary as to ensure the protected area management work is part of priority importance of the district as well as the province. Ownership of the district is foreseen when agreements are made from on planning to budgeting and having regular meeting event including some decision-making. DoNRE with under the supervision of provincial committee will work with other DoNREs relevant to NEPL by considering that NEPL Head Quarters Office and being as center for planning on cooperation and coordination. Also, patrols in other necessary conservation forests in and apart from NEPL to prevent and address wildlife threats, habitat loss as well as forest fired.</p> <p>Prepare PA subproject for Xiengkhouang province with focus on Nam Ngum PF</p> <p>Activity 2.6 Draft Provincial PA and PF strategy Workshop with 7 District of Natural Resources and Environments to draft Provincial PAs and PFs Strategy for long term long term management. As mentioned at the activity number 2.6, up to now PFRM is still do not have the provincial strategy on PA and PF and to be as a safeguard of implementation. The project will organize workshop with districts to get the ideas and understand current issues on management.</p> <p>Activity 2.7 Preparation of additional financing subproject proposal for Nam Ngum NPF and for capacity building of the Environment Division of PONRE Planning for the management of Nam Ngum NPFs needs to ensure the project activities will not impact on local communities while they would have better benefit from the interventions. The new protected forest such as Nam Ngum NPF has lack of data - stakeholders and development projects so it needs to collect data for management planning as to ensure the forests are protected to maintain their functions: biodiversity, watershed for livelihood and economic support systems such as agricultural and hydropower development. Therefore, to develop PA or PF subproject proposal needs to prepare with key stakeholders including local communities in order to ensure all safeguard (environment and social issues) are well consulted. Fieldworks for safeguarding consultation at target PF sites, at the district and provincial level will be conducted. The subproject will be prepared and submitted with its safeguard document.</p> |
| <p>Component 3. Subproject administration and monitoring</p> | <p>Project administration and management will follow the Project Implementation Manual (PIM), also on Financial Management, Procurement Manual and Monitoring and Evaluation Manual (M&E). Firstly, it needs to identify a project implementation team and to build a common understanding among the project team and with project supervisor on the project concept, project implementing approach, outcome and outputs of the project/sub-project to be achieved. Some sort of training and establishing a working system of the sub-project including accounting and monitoring system is necessary. A monitoring progress report of subproject indicators as well as a financial report including procurement will be prepared and submitted to EPFO bi-annually.</p> |
| <p>15. Sub-project direct beneficiaries</p> | <p><input checked="" type="checkbox"/> Governmental staff Total number (30) of which women (7) <i>Central level () of which women ()</i> <i>Provincial level (14) of which women (3)</i> <i>District level (16) of which women (4)</i> <input type="checkbox"/> Rural communities (500) Number of people (100) of which women () <input type="checkbox"/> Students () of which women ()</p> |

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| | <input type="checkbox"/> General public <input type="checkbox"/> Private sector () |
| 16. Proposed Start Date | October 1, 2015 |
| 17. Proposed End Date (duration in years) | September 30, 2017 (a 2-year project) |
| 18. Co-financiers (source and amount (US\$) including in-kind contribution; per component if possible) | PONRE XiengKuang in-kind contribution for office space, use of exositing equipment and staff time, is estimated at \$35,000. |
| 19. Complementary ongoing or planned projects/programs/initiatives (name/source of funding/linkage) | Parallel projects which have been working on the ground are the Wildlife Conservation Society for NEPL NPA but no any funding support for PFRM Office. The Wildlife Conservation Society for NEPL NPA. |

20. Implementation Arrangements

a. Describe the project management structure.

b. What additional staffing or technical assistance is needed to successfully complete the proposed project (e.g. M&E officer, accountant,)?

a. Project Management Structure/sub-project implementation team

The subproject's organization structure will be including a project supervisor, project head and technical team. The project supervisor is Deputy Director of the Provincial Department of Natural Resource and Environment PoNRE he/she is not involve in a day-to-day project implementation. The project head should be assigned to work mainly for this subproject and working with the project technical team. The project technical staff team will be government staff which will include a person responsible for monitoring and evaluation, planning and accounting. Finance is important which maybe a need to hire an accountant who is appropriate qualification to help.

Sub-Project Implementation Team as follow in below:

1. Mr. Sonphet Laphathong Project Supervisor
Tel: 020 22340433
2. Mr. Sengvong Nyosaykham Project Director and Project Coordinator.
Tel: 020 22342099
3. Mr. Vilakone Phengduang, Project Technical
Tel: 020 22340304
4. Mr. Sythat Phimmasone Project Technical
Tel: 020 22443536
5. Ms. Khamla Vinivong Project Technical
Tel: 020 28658123
6. Ms. Soudavanh, Project Accountant
Tel: 020 22340290

Any government staff who will be assigned to work for this subproject should have terms of reference especially the project head, M&E and Accountant. Review of performance of the project staff team members is needed from time to time as to identify whether any specific or additional training is needed as to fulfill the tasks.

b. Additional Staffing/Technical Assistance (TA)

As budgeted, short-term experts will be hired to help design, plan and provide training for the project team from time to time to ensure quality of project products. The recruitment for any position will be led by EPF with assistance from DFRM. Trainer for specific tasks will be the senior staff of the NUOL or DFRM who are qualified in providing the needed assistance

21. Summary Budget

Detailed activity plan and budget for total period and year one must be attached in annex. Provide a summary of the budget as part of the proposal. Note that the sub-project will be approved for the entire duration but the sub-grant agreement will be signed on a yearly basis based on previous year performance (and agreed triggers as defined in section 24). For approved sub-projects, a procurement plan will be developed by EPFO.

| Components(not more than 5 components including project management) | LENS2 financing US\$ | GoL-kind contribution US\$ | Subproject US\$ Budget |
|---|----------------------|----------------------------|------------------------|
| (1) Capacity building and coordination for PA and PF management | 121,800 | 0 | 121,800 |
| (2) Support for PA and PF management | 101,650 | 0 | 101,650 |
| (3) Subproject administration and monitoring | 25,400 | 35,000 | 60,400 |
| Base cost | 248,850 | 35,000 | 283,850 |
| Contingency (10%) | 28,150 | - | 28,150 |
| Total | 277,000 | 35,000 | 312,000 |

22. Sustainability

Please explain how the benefits of the project will continue or be maintained once the sub-project is complete.

The sustainability of the subproject is the availability of qualified human resource, mechanism and working system and equipment which will be applied for the work. Therefore, the project implementation team should be government staff as that they will stay with the government in the province. Of which, it is a need to define some senior staff who have knowledge and experience to be more trained for as training of trainer (ToT) to then train other staff. In addition, junior staff should be arranged to work with the team as to provide them an opportunity to learn. Of course, mechanism, system or procedures and tools which will be in place to be used and improved for effective coordination and cooperation for supporting protected area management.

23. Environment and Social Management Plan (ESMP)

Check if an Environmental and Social Management Plan (ESMP) needs to be prepared (see guidelines in the Environment and Social Management Framework (ESMF) to be obtained from EPF website).

This subproject is classified onto the project type C which has no any impact on environment and social. The project's activities are to build staff capacity, improved coordination and cooperation through workshops, planning and exercise in protected area management. With reference to the negative checklist of this project it does not have any activities that may generate impact on environment and social aspect. Therefore, this subproject has no any activity that would create or lead to subsequently impact on environment and social.

24. Community Engagement including gender issues at community level

Check if compliance with the Community Engagement Framework (CEF) is needed and follow guidelines on steps to be obtained from EPF website.

Please check if CEF applies²

Local communities will engage in participatory protected area management planning which will be conducted with DoNRE and NEPL Management Unit and that through some interviews on some points concern to them as to obtain their point of views and to increase better ownership in protected area management, also recognizing their rights and safeguard. It needs to emphasize their volunteer participation which outreach at villages will improve and bring their interest.

² Please follow the procedures outlined in the CEF document and ensure that details related to sub-project CEF implementation including implementation arrangements, activities, indicators and budget are correctly included in the sub-project application sections (RF, budget, activities, M&E).

25. Gender issues (mainstreaming)

Please explain how the sub-project is compliant with GoL commitment to gender issues under the project.

Planning on arrangement of staff for any project activity should take account of gender issue which at least 20% of the participants must be a woman. The project activities which to identify participants for training, study tour and a meeting require to check a proportion of women's participation.

26. Agreed Annual Performance Triggers

For information find below the list of agreed targets that must be achieved to trigger approval of next year's funds:

Agreed triggers:

- Compliance with fiduciary and safeguard requirements (at least Moderately Satisfactory in year 1 and Satisfactory in subsequent years)
- Compliance with reporting requirement (number, quality and timeliness) (at least Moderately Satisfactory in year 1 and Satisfactory in subsequent years)
- Year 1, at least 50 % of activities in AWPB completed. Year 2 and after, at least 75 % of activities in AWPB completed
- All outcome targets for current year are measured, evaluated and show progress toward target
- AWPB produced for the new fiscal year cleared by WB and approved by EPF Board

27. Document Checklist

Please ensure to attach the following based on templates (excel file) provided:

- Sub-project results framework (entire period)
- Sub-project detailed budget entire period (2a. per component and 2b. per category)
- Sub-project detailed activity work plan per component year 1
- Sub-project Environmental and Social Management Plan (ESMP) if applicable

Signature

Approved by:
XiengKhouangPoNRE
(Head/DG of SDA or equivalent)

Date: Submitted by:
XiengKhouang PFRM

Edits to a submitted application prior approval not requiring-submission, accepted by SDA and EPF, are summarized below: (Please list application form reference number (e.g. #20) or annexes and date of EPFO communication on accepted changes to SDA).

