



**Laos People's Democratic Republic**  
**Peace Independence Democracy Unity and Prosperity**

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**Environment Protection Fund**

**PAW/LENS2 Sub-project Application**

**General Instructions:** Please refer to the Project Implementation Manual (PIM) Volumes 1 to 5 for subproject design, implementation and monitoring/evaluation (M&E). In summary:

- Applications should be submitted in both Lao and English to EPF Office. Translating the application is SDA's responsibility. It can be financed by the subproject preparation facility, if such facility has been mobilized, or pre-financed by EPF.
- Applications must demonstrate that the subproject meets the following eligibility criteria: (a) supports a Government of Lao PDR policy, strategy and/or an official plan; (b) contributes to at least one outcome indicator and at least one intermediate outcome indicator from the LENS2 results framework; (d) fits into either the PICE or CBI EPF funding windows; (e) excludes activities from the negative check-list in the PIM, and (f) aligns with project's geographical scope which includes the national/central level and the following provinces: Bolikhamxay, Khammouane, Houaphan, Xiengkhouang, Luang Prabang, Savannakhet, Vientiane and Xaysomboun.
- Applications for protected area and wildlife sub-projects must demonstrate that the subproject meets an additional eligibility criteria: contributes to a regional or global outcome such as cross-border cooperation, knowledge transfer or prevention of illegal wildlife trade.
- Sub-projects are approved for their proposed duration. However, implementation requires the prior annual approval of an Annual Work Plan and Budget (AWPB). EPF has the possibility to cancel an approved subproject if the annual performance is consistently unsatisfactory and the achievement of its objective has become unlikely.
- An SDA can only implement one subproject at a time although additional financing is possible.

*EPFO Sub-project number:*

<b>1. Sub-project delivery agency (SDA) (name/address)</b>	Khammouane Provincial Office of Natural Resources and Environment (PONRE)  Thakhek Kang village, Thakhek District, Khammouane Province, Lao PDR, Tel/Fax: 051 213052,
<b>2. SDA focal point (name/function/contact details)</b>	Mr. Sisomphone Soudthichak, Director, Provincial Office of Forest Resource Management (PFRM) of Khammouane Province. Tel/Fax: 051 213 344; Mobil: (020) 5522 3815; E-mail: <a href="mailto:sisomphone_67@yahoo.com">sisomphone_67@yahoo.com</a>  Mr. Fongsamouth Inthanam, Deputy Head of Protected Area Unit Email : <a href="mailto:mouth_00@hotmail.com">mouth_00@hotmail.com</a> ; Mobile: 020 2344 1558;
<b>3. Geographic scope</b> <i>(can be more than one option)</i>	<input type="checkbox"/> International level <input type="checkbox"/> Central/national level <input checked="" type="checkbox"/> Provincial/district level. Please indicate target provinces: <b>Khammouane Province</b> <input type="checkbox"/> Protected area. Please indicate (NPA, protection forest, conservation

	forest and name):
<b>4. Sub-project title</b>	<b>Capacity strengthening for Protected Areas (PAs) and Protection Forests (PFs) Management in Khammouane Province.</b>
<b>5. Amount requested (US\$)</b>	<b>US\$ 287,540</b>
<b>6. EPF's eligible funding window</b> <i>(please check only one window)</i>	<input type="checkbox"/> Policy Implementation and Capacity Enhancement (PICE) <input checked="" type="checkbox"/> Community Biodiversity Investment (CBI)
<b>7. Revised LENS2 Project development objective<sup>1</sup></b>	<p>To strengthen the management systems for national protected areas conservation and for enforcement of wildlife laws. (ref. Project paper date March 24, 2015)</p> <p><input checked="" type="checkbox"/> <i>Proposed sub-project for LENS2</i></p>
<b>8. Sub-project related sectoral and institutional context, challenges and priorities</b> <i>(Describe role of SDA, current capacity and activities related to PA/wildlife/end. and social safeguards, challenges and priority needs and approach proposed to address priority needs/gaps).</i>	<p><b>Overview of Khammouane Province:</b> The Khammouane Province is located in central country, it has 10 districts with a total population of about 390,701 people in 2014, and share borders with two countries (Thailand and Vietnam). There are three National Protected Areas (NPA) including Nakai-Nam Theun (350,000 ha), PhouHinPoun (150,000 ha), and HinNamno (82,000ha), and two National Protection forests (NPF) (about 52,738 ha) comprising PhouLuang - PhouKhaoNok NPF (38,865 ha), Phou Hai NPF (13,873ha) (See location map). The Provincial Protection Forest PPF of Nam-In Phouhinleckfai is considered priority for biodiversity conservation protection and management due to its location the border between Gnommalate and Boualapha districts. There are four provincial protection areas PPA, one provincial protected forest PPF, and five district protection forests DPF in Khammouane province.</p> <p>The protected area management status of the province is reasonably good because of past assistance from number of organizations such as the World Bank, the GIZ - German Government, for Hin Namno NPA, and Nam Theun Power Company (NTPC) for the Nakai Nam Theun NPA. Some provincial staff who was assigned to work with them have learnt from the practice but the assistance has not helped improve the provincial protected area management as necessary. These project provided opportunity for provincial and district staff to plan and implement the work themselves and there are key staff who have knowledge and experience in planning, awareness raising, and implementation of projects including ways to engage local community in management of protected areas.</p> <p>The management the upper watershed of Nam In – Phouhinleckfai is a priority, PONRE Khammouane will therefore propose the management of Nam In – Phouhinleckfai PPF as additional activities of the present sub-proposal. This PPF covers an area of 37,645 ha and is surrounded by 19 villages. Nam In – Phouhinleckfai management approach is mainly to build local communities' ownership in the management of forest resource by building awareness and knowledge as well as giving assistance for livelihood development through the development of conservation agreements with villagers covering patrolling and managing forest resources in their village administrative areas.</p> <p><b>Current Capacity:</b> There are <b>97</b> PFRM staffs including those working at the provincial and district level of which 30 are volunteers and PFRM activities are carried out according to funding available. Most of these staff are new to PFRM and were reassigned from other sections. In term of equipment and</p>

<sup>1</sup> This is the proposed LENS2 PDO. Until LENS2 project becomes effective, sub-projects for LENS2 will not be processed for approval.

	<p>vehicle, PFRM has only 2 pick-Up vehicles that were granted by Japanese Government and 1 project vehicle from GIZ, 7 motor bikes, 5 regular cameras, 10 GPS and 10 computers. PFRM depends on funding from projects or from the forest resources development fund (FRDF).</p> <p><b>Challenges and priority needs:</b> the staff who is responsible for protected area management particularly those newly recruited have limited knowledge and not yet be trained systematically and actively. Participation of stakeholders including villagers and other government sectors for being ownership in protected area management is limited. Also, the cooperation with Nam Theun 2 Watershed Management and Protected Authority (NT2-WMPA) is quite limited. Manuals, working system including planning and reporting is limited. Yet, smuggling in timbers and wildlife in the protected area particularly along Lao-Vietnam border is an issue e.g. Nakai-Nam Theun NPA and Hin Namno NPA. So far, the cooperation for planning with Vietnam on protected area management along the border has not been conducted seriously. Due to discontinued funding support and insufficient law enforcement makes insufficient management of protected area and protection forests. In addition, there is even slow progress in protection forests management than protected areas as their management initiatives from planning have not been undertaken yet.</p> <p>Therefore, the priority needs are (1) training to improve knowledge and capacity of PFRM and district staff/ volunteers that are newly recruited and lack of basic knowledge on conservation principles and protected area management including patrolling, outreach, zoning, as well as establishing regulations and implement law enforcement and (2) development of manuals/guidelines on developing a management plan, planning and reporting for the provincial team including improving mechanisms for coordination within the province and Vietnam and support to the organization responsible for protected area management such as WMPA of NT2 and GIZ and other supporting tools such as database and necessary manuals/guidelines. At the same time, effort will be made to strengthen PFRM capacity to conduct management initiative for protection forests in the Nam In – Phouhinleckfai PPF.</p> <p><b>Implementing Approach:</b> This will be conducted through a series of training to be facilitated by the DFRM and the National University of Laos (NUoL) at the provincial level. Training on specific topics related to policy and field practice by the province is necessary. There will be series of workshops within the province specifically for key partners of PFRM for managing PAs and PFs. DFRM will make availability on the tools to support the management of protected areas e.g. guidelines and manuals. Therefore, the provincial trained team will provide necessary training for district team with assistance from short-term experts as PFRM may hire to assist from time to time in planning and advising on project implementation and monitoring.</p>
<p><b>9. Sub-project objective</b> <i>(only one sentence)</i></p>	<p><b>To improve the capacity of PFRM staff in project planning and coordination for Protected Areas (PAs) and Protection Forests (PFs) in Khammouane Province</b></p>
<p><b>10. GoL Policy/Plan/ Strategy supported by Sub-project</b> <i>(including provincial if applicable)</i></p>	<p>Forestry Strategy in year 2020 by mainly protecting forest cover and not to convert protected areas - to increase forest cover at 70% by 2020.</p> <p>National Environment Strategy for the year 2020 – to have good environment.</p> <p>The subproject supports important national and regional strategies as below:</p> <p>National Biodiversity and Action Plan to the year 2020, by mainly protecting richness of biodiversity in protected areas</p> <p>Tiger National Action Plan, by mainly prohibition a trade of tiger's parts</p>

	Poverty Reduction Strategy for the year 2020 by maintaining food sources and incomes through sustainable use of forest products.
<b>11. Sub-project Regional or global outcomes (for protected area and wildlife related sub-projects only: e.g. cross border cooperation, knowledge transfer, prevention of regional illegal wildlife trade)</b>	<p>With the sub-project interventions to make availability of the improved system, mechanism and increase knowledge or capacity of government staff through trainings, knowledge exchange and enhance a relationship of cooperation in the region especially with Vietnam to prevent illegal poaching of forest resources crossing the border. This sub-project will improve effectiveness of protected area management. Therefore, improvement of this work will contribute to the implementation of international conventions and regional agreements such as Convention on Biological Diversity (CBD), Convention on International Trade in Endangered Species (CITES), ASEAN-WEN and Mekong Biodiversity and Forestry Program as well as the Lao-Vietnam Cooperation.</p>
<b>12. Sub-project Outcome Indicators</b> <i>Select and list the related outcome and intermediary outcome indicators from the PAW/LENS2 Results Framework and describe additional sub-project specific outcome indicators. Outcome/impact is the longer-term benefit of particular goods or services to a target group.</i>	<p>Sub-Project Outcome Indicators:</p> <ul style="list-style-type: none"> <li>• 1. Increase in the functional capacity of KM-PFRM SDAs and EPF (annual) (also LENS2 Outcome Indicator #3)</li> <li>• 2. Direct project beneficiary (of which women) as measured by percentage ( 20%) who received training (cumulative) (also LENS2 Intermediary Outcome Indicator #1.1)</li> <li>• 3. Lessons learned from regional travel, workshop with Vietnam, interaction with international NGOs that are effectively incorporated in provincial planning or management of protected areas</li> <li>• 4. Approved second LENS2 subproject for Khammouane province with focus on Nam In-Phouhinleckfai PPF</li> </ul>
<b>13. Sub-project main outputs</b> <i>(Goods or services provided by the sub-project's intervention (supply-driven) e.g. x staff trained; guidelines on x developed)</i>	<p><b>1. Capacity building to the staff in Project Planning and coordination for PA and PF management</b></p> <p>1.1 Technical staff will be trained in practice of protected area management (35 staff).</p> <p>1.2 Technical staff and decision-makers will participate in the study tour on protected area management (2 times, 30 persons).</p> <p>1.3 Stakeholder workshops on protected area will be held 6 times (2 for provincial and 4 for District level).</p> <p>1.4 Key partner workshops on PAs and PFs Management (4times)</p> <p>1.5 Seminar workshops with neighboring province of Vietnam on PA cooperation (4 times).</p> <p><b>2. Support for PA and PF management</b></p> <p>2.1 Support the protected area work at the district level – District Office of Natural Resource and Environment of Nakai District (8 times).</p> <p>2.2 Participate in management planning and Evaluation of Nakai-Nam Theun NPA management (8 times) and organize patrolling at Lao-Vietnamese border (6 times)</p> <p>2.3 Conduct conservation awareness raising and information dissemination on laws at markets (6 point-times) and general public or international days to public event (4 times) at Provincial or District level.</p> <p>2.4 Prepare sub-project proposal of Nam In-Phouhinleckfai PPF management with complete safeguard process.</p> <p><b>3.Subproject administration and management</b></p>

	<p>3.1 Reports of sub-project administration and management (annual)</p> <p>3.2 Capacity to deliver outputs defined in the AWPB (annual)</p> <p>3.3 Deliverables” proposed in the AWPB completed each year (annual) by PFRM.</p>
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**14. Sub-project Components and Activities:**

*List the components and main activities to produce outputs above. Do not use more than 5 components. Details will be in the Annual Work Plan and Budget (AWPB) annex attached.*

Component	Main activities
<p><b>Component 1. Capacity building and coordination for PA and PF management</b></p>	<p><b>Activity 1.1 Staff training in priority topics of protected area management</b></p> <p>The central level (Department of Forest Resource Management) and National University of Laos (NUoL) responsible for the training of provincial staff focusing on trainers’ training to be able to train other staff especially at the district level and protected area staff. Training in general theory or principle of protected area management should be conducted at the central level but training on the know how in practice should be the provincial team such as monitoring practice, planning and reporting, basic field technique, outreach and planning for land use including zoning for management. The training on on-the-job training at class only for young staff cannot apply the knowledge gained completely to the real practice. In addition, handbook/guides to support this work should be developed at the central level (DFRM) but must be appropriated with the local needs and conditions.</p> <p><b>Activity 1.2 Study tour of staff and decision-makers/leaderships</b></p> <p>Study tour and for lesson exchange with best practice projects on protected area management, co-management and cooperation will be held in the country and abroad. The study tour will be arranged for those with good performance to obtain new lessons and also for decision-makers on protected area management. The selection for personnel with good performance serves as an incentive to work effectively and efficiently. This study tour arrangement needs to work out with DFRM. Prior to the study tour it needs to be prepared in advance regarding the topics of interest and how to use the lessons into their work afterwards.</p> <p><b>Activity 1.3 Stakeholder workshops in the province and district</b></p> <p>The stakeholder workshops on protected area at the provincial and district level are not regularly being done. This workshop aims to consult towards better protected area management and to avoid any development projects that will have negative impact on the PAs. Any existing or planned projects of provincial departments or sectors which will adversely affect the PAs are subject to discussion and solution to mitigate negative impacts on biodiversity. Both provincial and district workshops need Provincial or Vice Provincial Governor to give a speech to inform the roles and responsibilities of FRFM/PONRE and DoNRE, calling all departments to participate in the protected area management.</p> <p><b>Activity 1.4 Key partner workshops toward better protected area management will be held in the province.</b></p> <p>The key partner workshop is a small technical workshop or working group of key partners who have mandates on conservation working in the province but including the participation of DFRM to develop a better coordination for managing protected areas and protection forests in the</p>

	<p>province. This workshop is to identify key issues, gaps of coordination within the province and to national or district level. From the workshop the group may create principles or some agreement for better coordination and also cooperation as to report to the provincial stakeholder workshop.</p> <p><b>Activity 1.5 Seminar workshops with the neighboring province of Vietnam on trans boundary PA cooperation.</b></p> <p>The coordination and cooperation among neighboring provinces of Vietnam both technical and decision-makers has not been undertaken on the ground. Therefore, a technical seminar-workshop is deemed necessary to identify activities to prevent trespassers crossing the border illegally into NPAs of Laos for illegal harvest of forest products.</p>	
<p><b>Component 2. Support for PA and PF management</b></p>	<p><b>Activity 2.1 Strengthening capacity for District Office of the Natural Resource and Environment (DoNRE)</b></p> <p>Forest and water management unit of DoNRE especially Nakai DoNRE do not have full roles and responsibilities to participate in planning and implementing their mandates. Thus, activity plan should be supported by PFRM by providing training and guidance in the tasks from time to time to meet the ability in carrying out conservation work in the future. Particularly, to have effective participation and contribution to the management of Nakai-Nam Theun. The DoNRE has to be regularly backed up by PFRM/PONRE including the Provincial Governor.</p> <p><b>Activity 2.2 Participation in Nakai-Nam Theun NPA Management Planning.</b></p> <p>The planning and management of Nakai-Nam Theun NPA do not cooperate yet with other government departments. Only some staff were assigned to work with them. Thus, the management planning of the NPA with relevant key departments or sectors is necessary to ensure the protected area management giving priority importance by the district and the province. Ownership of the district is foreseen when agreements are made from planning to budgeting and having regular meeting for decision-making. Nakai and Boualapha DoNREs which will work with Khamkeuth DoNRE of Bolikhamxay Province by considering Nakai-Nam Theun NPA as center for planning and coordination.</p> <p><b>Activity 2.3 Conservation awareness raising and dissemination of laws and regulations on protected area and wildlife.</b></p> <p>Conservation awareness raising for the public, officials, soldiers, police and traders is essentially important. The activity tend to disseminate laws and regulations of protected area and to raise conservation awareness to target groups and market. The awareness raising at market is about regulation dissemination necessary to work with market's owners, managers and of restaurants to understand and obtain their cooperation for prevention of illegal wildlife trading. Signboards may be renovated or established but will require village authority and market managers to be part of it.</p> <p>Conservation awareness raising to the general public for the government department/sectors to be aware and cooperate for the prevention to address the threats in the protected areas. This may be done through provincial meetings/workshops, any celebration of international and national important events and through radio program to encourage any organizations to know its importance and to elicit cooperation to prevent encroachment in protected areas.</p> <p><b>Activity 2.4 Preparation of Nam In - Phouhinleckfai PPF subproject proposal</b></p> <p>Planning for the management of PAs or PFs to ensure the project activities will not have negative impact on the local communities but will benefit more</p>	

	<p>on the intervention. Nam In-Phouhinleckfai (37,645 ha) to be planned with stakeholders including development projects to manage the area to ensure the forests are well protected to maintain its functions: biodiversity, watershed for livelihood and economic support systems such as agricultural and hydropower development. To develop PAs or PF sub-project proposal to prepare with key stakeholders including local communities in Talark, Sangpoungbone, Hangkan, Khamhea, Naboor, Thongkong, Teuan, Phonkeo, Keanmouang, Takdead, Thachone, Thongkham, Langkhang, Vangseane, seanphanh, Natanchai, Kachanoy, and Kachamyai villages to ensure all safeguard (environment and social issues) are well consulted. Fieldworks to safeguard consultation at the target sites, at the district and provincial level. The sub-project will be prepared and submitted with the safeguard document.</p> <p>Meanwhile, the DFRM is developing guideline for protection forest management planning under the Integrated Conservation of Biodiversity and Forests in National Protected Areas and Corridors (ICBF) funded by the kfW. The guideline could be used as a guiding document for stakeholder mapping and other exercises necessary for the development of additional sub-project proposal and implementation. Also, the additional sub-project will explore synergy/co-financing with the ICBF Project in some technical aspects. For example, Nam In Phouhinleckfai protection forest can be host the piloting of Integrated Conservation of Biodiversity and Forest + forest monitoring.</p>	
<b>Component 3. Subproject administration</b>	<p>Project administration and management will follow the Project Implementation Manual (PIM), also on Financial Management, Procurement Manual and Monitoring and Evaluation Manual (M&amp;E). Firstly, it needs to identify a project implementation team and to build a common understanding among the project team and with project supervisor on the project concept, project implementing approach, outcome and outputs of the project/sub-project to be achieved. Some sort of training and establishing a working system of the sub-project including accounting and monitoring system is necessary. A monitoring progress report of subproject indicators as well as a financial report including procurement will be prepared and submitted to EPFO bi-annually.</p>	
<b>15. Sub-project direct beneficiaries</b>	<input checked="" type="checkbox"/> Governmental staff Total number (35) of which women (10) <i>Central level ( ) of which women ( )</i> <i>Provincial level ( 15) of which women (5)</i> <i>District level (20) of which women ( 5)</i> <input type="checkbox"/> Rural communities ( ) Number of people ( ) of which women ( ) <input type="checkbox"/> Students ( ) of which women ( ) <input type="checkbox"/> General public <input type="checkbox"/> Private sector ( )	
<b>16. Proposed Start Date</b>	October 1, 2015	
<b>17. Proposed End Date</b> <i>(duration in years)</i>	September 30, 2017 ( a 2-year project)	
<b>18. Co-financiers</b> <i>(source and amount (US\$) including in-kind contribution; per component if possible)</i>	The budget of the provincial government co-financing as in-kind-contribution regarding staff time and office space is estimated at \$35,000.	
<b>19. Complementary ongoing or planned projects/programs/initiatives</b> <i>(name/source of</i>	A few parallel projects are ongoing and will be initiated in the next few years. The GIZ support for HinNamno NPA is expected to continue. GIZ assistance will be strengthened by the KfW-funded project name is Integrated Conservation of Biodiversity and Forests in National Protected Areas and	

<i>funding/linkage)</i>	Corridors (ICBF) The purpose of the project is defined as: “Effective management of selected target landscapes (comprising national Protected Areas and Corridors) sustaining biodiversity in forest ecosystems, while supporting livelihoods of forest-dependent communities”. This is to contribute to improve the socio-economic living conditions of poor rural households through sustainable management of natural resources and the funding expected to be allocated is around EUR 15,5 million during the project is 7 years from 2015 to 2022. During implementation SDA will cooperate and coordination with such existing projects in Khammouane province on relation in NPA management as well as activities implementation. With NTPC support, and starting next year with EPF support, the Watershed Management and Protection Authority of Nam Theun 2 will continue to manage Nakai-Nam Theun NPA. PFRM is involved in Nakai and Boualapha District through DONREs. The expected funding for NNT NPA in the next 5 years is around \$10 million. <b>The above projects will work in parallel and coordinate to each other by organizing quarterly meeting to share information and experience on project implementation.</b>
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## 20. Implementation Arrangements

a. Describe the project management structure.

b. What additional staffing or technical assistance is needed to successfully complete the proposed project (e.g. M&E officer, accountant, ...)?

### a. Project Management Structure/sub-project implementation team

The subproject's organization structure will be including a project supervisor, project head and technical team. The project supervisor is the Deputy Director General of Department of Natural Resource and Environment but not involve in a day-to-day project implementation. The project head should be assigned to work mainly for this subproject and working with the project technical team. The project technical staff team will be government staff which will include a person responsible for monitoring and evaluation, planning and accounting. Finance is important which maybe a need to hire an accountant who is appropriate qualification to help.

#### Sub-project Implementation team:

##### I. Project Supervisor

1. Mr. Sinasone Sengchanthavong Deputy Director General of Department of PONRE

Tel: 020 99843366; Email: Lensepf.km@gmail.com

2. Mr. Sysomphone Southichack director of PFRM

Tel: 020 55223815; Email: Sisomphone\_67@yahoo.com

##### II. Project Coordinator

1. Mr. Fongsamoutlnthamath

Tel: 020 2344 1558; Email: mouth\_oo@hotmail.com

##### III. Project Technical team



1. Mr. Inpong
2. Ms. SonemanySenmany
3. Mr. VanhManithong
4. Mr. SommaySangsiyavong

#### IV. Accountant team

1. Ms. PhouvientSyliphanphone
2. Ms. OunheunPhansili

#### V. Data base team

1. Mr. ChansamouthSinthavong
2. Ms. ThippavongKingkeo

Any government staff who will be assigned to work for this subproject should have terms of reference especially the project head, M&E and Accountant. Review of performance of the project staff team members is needed from time to time as to identify whether any specific or additional training is needed as to fulfill the tasks.

#### b. Additional Staffing/Technical Assistance (TA)

As budgeted, short-term experts will be hired to help design, plan and provide training for the project team from time to time to ensure quality of project products. The recruitment for any position will be led by EPF with assistance from DFRM. Trainer for specific tasks will be the senior staff of the NUOL or DFRM who are qualified in providing the needed assistance.

This project will hire two short-term experts and one accountant. The Technical Adviser position is only for 4 months, the Safeguard Specialist for 5 months, and the Project Accountant will be full-time.

### 21. Summary Budget

*Detailed activity plan and budget for the total period and year one must be attached in annex. Provide a summary of the budget as part of the proposal. Note that the sub-project will be approved for the entire duration but the sub-grant agreement will be signed on a yearly basis based on the previous year performance (and agreed triggers as defined in section 24). For approved sub-projects, a procurement plan will be developed by EPFO.*

Components(not more than 5 components including project management)	LENS 2 financing (US\$)	GoL. In-kind contribution (US\$)	Total Estimated cost (US\$)
Component 1. Capacity building and coordination for PA and PF management	126,900	9,000.00	135,900
Component 2. Support for PA and PF management	110,600.00	0	110,600.00
Component 4. Subproject administration and monitoring	23,900.00	35,000.00	58,900.00
Base cost	<b>261,400</b>	<b>44,000.00</b>	<b>305,400</b>
Contingency (10%)	26,140	-	30,540

<b>Total</b>	<b>287,540</b>	<b>44,000.00</b>	<b>335,940</b>
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**22. Sustainability**

*Please explain how the benefits of the project will continue or be maintained once the sub-project is complete.*

The sustainability of the sub-project is the availability of qualified human resource, mechanism, working system, and equipment needed for the work. Therefore, the project implementation teams should be government staff considering that they will stay in the province. It is a need to identify senior staff who have the knowledge and experience in order to train other staff. Junior staff should be arrange to work with the team to provide an opportunity to learn, Mechanism, system/procedures, and tools should be in place to be used and improved for effective coordination and cooperation for supporting protected area management.

**23. Environment and Social Management Plan (ESMP)**

*Check if an Environmental and Social Management Plan (ESMP) needs to be prepared (see guidelines in the Environment and Social Management Framework (ESMF) to be obtained from EPF website).*

This sub-project is classified into the project type C which has no any social and environmental impacts. The project activities are to build staff capacity, improved coordination and cooperation through workshops, planning, and exercise in protected area management. With reference to the negative checklist of this project, it does not have any activities that may generate social and environmental impacts.

**24. Community Engagement including gender issues at the community level**

*Check if compliance with the Community Engagement Framework (CEF) is needed and follow guidelines on steps to be obtained from EPF website.*

Please check if CEF applies<sup>2</sup>

Local communities will engage in participatory protected area management planning which will be conducted with DoNRE and NT2 WMPA. Through interviews to determine their concerns, perception and to increase better ownership in protected area management, and recognize their rights and safeguard. It needs to emphasize their voluntary participation to outreach the villages that will improve and elicit their interest.

**25. Gender issues (mainstreaming)**

*Please explain how the sub-project is complaint with GoL commitment to gender issues under the project.*

Planning on the arrangement of staff for any project activity should take into account gender issue of which at least 20% of the participants are women. The project activities including study tour and meeting should entail women's participation.

<sup>2</sup> Please follow the procedures outlined in the CEF document and ensure that details related to sub-project CEF implementation including implementation arrangements, activities, indicators and budget are correctly included in the related sub-project application sections (RF, budget, activities, M&E).

## 26. Agreed Annual Performance Triggers

For information find below the list of agreed targets that must be achieved to trigger approval of next year's funds:

Agreed triggers:

- Compliance with fiduciary and safeguard requirements (at least moderately satisfactory in year 1 and satisfactory in subsequent years)
- Compliance with reporting requirement (number, quality and timeliness) (at least moderately satisfactory in year 1 and satisfactory in subsequent years)
- Year 1, at least 50 % of activities in AWPB completed. Year 2 and after, at least 75 % of activities in AWPB completed
- All outcome targets for current year are measured, evaluated and show progress on the target
- AWPB produced for the new fiscal year cleared by WB and approved by the EPF Board

## 27. Document Checklist

Please ensure to attach the following based on templates (excel file) provided:

- Sub-project results framework (entire period)
- Sub-project detailed budget entire period (2a. per component and 2b. per category)
- Sub-project detailed activity work plan per component year 1
- Sub-project Environmental and Social Management Plan (ESMP) **if applicable**

### Signature

Approved by: Khammouane PoNRE  
(Head/DG of SDA or equivalent)

Date: Submitted by: Khammouane PFRM

Mr. Sisomphone Southichak

Edits to a submitted application prior approval not requiring-submission, accepted by SDA and EPF, are summarized below: (Please list application form reference number (e.g. #20) or annexes and date of EPFO communication on accepted changes to SDA).

